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02-11-02

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	021503-000100US
First Inventor	Lee, Wai-Hon
Title	OPTICAL PICKUP DIFFRACTING ONE OF TWO LASER BEAMS TO A SINGLE DETECTOR
Express Mail Label No.	EL265818426US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

ADDRESS TO Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 10]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
- ☒ Oath or Declaration [Total Pages 1]
  - ☒ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper number of pages
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☒ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_ /  
Prior application information: Examiner: \_\_\_\_ Group Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 20350 or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name					
Address					
City	State	Zip Code			
Country	Telephone	Fax			

Name (Print/Type)	Paul C. Haughey	Registration No. (Attorney/Agent)	31,836
Signature		Date	February 7, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231. PA 3200342 v1

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**Complete if Known****TOTAL AMOUNT OF PAYMENT (\$)** 410

Application Number	
Filing Date	HEREWITH
First Named Inventor	Lee, Wai-Hon
Examiner Name	
Group Art Unit	
Attorney Docket No.	021503-000100US

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		<b>3. ADDITIONAL FEES</b>	
Deposit Account Number	20-1430	Large Fee Code	Entity Fee (\$)
Deposit Account Name	Townsend and Townsend and Crew LLP	Small Fee Code	Entity Fee (\$)
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17			Fee Description
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			Fee Paid
2. <input type="checkbox"/> Payment Enclosed:		105	130
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		127	50
		139	130
		147	2,520
		112	920*
		113	1,840*
		115	110
		116	400
		117	920
		118	1,440
		128	1,960
		119	320
		120	320
		121	280
		138	1,510
		140	110
		141	1,280
		142	1,280
		143	460
		144	620
		122	130
		123	50
		126	180
		581	40
		146	740
		149	740
		179	740
		169	900
		Other fee (specify)	
		The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.	
		*Reduced by Basic Filing Fee Paid	
		<b>SUBTOTAL (3)</b> (\$40)	

FEE CALCULATION	
<b>1. BASIC FILING FEE</b>	
Large Fee Code	Entity Fee (\$)
Small Fee Code	Entity Fee (\$)
101	740
106	330
107	510
108	740
114	160
<b>SUBTOTAL (1)</b> (\$370)	
<b>2. EXTRA CLAIM FEES</b>	
Total Claims	13
Independent Claims	3
Multiple Dependent	
Extra Claims	
Fee from below	
Fee Paid	
SUBTOTAL (2)	
(\$0)	

11/07/2002

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Paul C. Haughey	Registration No. (Attorney/Agent)	31,836
Signature	<i>Paul C. Haughey</i>	Telephone	650-326-2400
		Date	February 7, 2002

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